

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Signature

Applicant : Manabu Yamada, et al.
Application No. : N/A
Filed : November 15, 2001
Title : OPTICAL WAVEGUIDE MODULATOR EQUIPPED WITH AN OUTPUT LIGHT MONITOR
Grp./Div. : N/A
Examiner : N/A
Docket No. : 45923/DBP/A400

REQUEST FOR CORRECTION OF INVENTOR'S NAME
IN INTERNATIONAL APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231
Post Office Box 7068
Pasadena, CA 91109-7068
December 11, 2001

Commissioner:

Applicant's name in International Application PCT/JPO1/02073 upon which this Application is based is shown as TOKUICHI MIYAZAKI. This is an error.

The correct name of this inventor is NORIKAZU MIYAZAKI as shown in the Declaration filed with the U.S. Application on March 15, 2001.

Correction of the International Application should be made of record.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

D. Bruce Prout

D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/mee
LLL PAS401543.1-*--12/11/01 8:46 AM

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

OPTICAL WAVEGUIDE MODULATOR EQUIPPED

WITH AN OUTPUT LIGHT MONITOR

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約国際出願番号を _____ とし、
(該当する場合) _____ に訂正されました。

☐ was filed on March 15, 2001
as United States Application Number or
PCT International Application Number
PCT/JP01/02073 and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している。本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

外国での先行出願

2000-077819(Pat. Appln.) Japan

(Number)

(Country)

(番号)

(国名)

2000-101316(Pat. Appln.) Japan

(Number)

(Country)

(番号)

(国名)

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

15/March/2000

(Day/Month/Year Filed)

(出願年月日)

☐

31/March/2000

(Day/Month/Year Filed)

(出願年月日)

☐

(Please see attachment for additional Prior Foreign Application)

私は、第35編米国法典119条(e)項に基づいて下記の米国外特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国外特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国外特許出願に開示されていない限り、その先行米国外出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じているところに基づき表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Prior Foreign Application(s)

外国での先行出願

Priority Not Claimed

低先権主張なし

2000-380629 (Pat. Appln.) Japan

(Number)

(Country)

(番号)

(国名)

14/December/2000

(Day/Month/Year Filed)

(出願年月日)

☐

2000-30254 (Pat. Appln.) Japan

(Number)

(Country)

(番号)

(国名)

2/February/2000

(Day/Month/Year Filed)

(出願年月日)

☒

(Number)

(Country)

(番号)

(国名)

(Day/Month/Year Filed)

(出願年月日)

☐

ATTACHMENT TO PAGE 2
OF DECLARATION AND
POWER OF ATTORNEY

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Japanese Language Declaration

(日本語宣言書)

委任状: 私は下記の発明者として、本出願に関する一切の手続きを米特許庁長官に対して遂行する弁護士または代理人として、下記の者を指名いたします。(弁護士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

Please see attachment

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唯一または第一発明者名	Full name of sole or first inventor	
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発明者の署名	日付	Inventor's signature Date
		Manabu Yamada November 2, 2001
住所	Residence	
	Funabashi-shi, Chiba, Japan	
国籍	Citizenship	
	Japanese	
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	c/o Optoelectronics Business Division, Sumitomo Osaka Cement Co., Ltd., 585, Toyotomi-cho, Funabashi-shi, Chiba 274-8601 Japan	
第二共同発明者	Full name of second joint inventor, if any	
	Norikazu Miyazaki	
第二共同発明者	日付	Second inventor's signature Date
		Norikazu Miyazaki November 2, 2001
住所	Residence	
	Funabashi-shi, Chiba, Japan	
国籍	Citizenship	
	Japanese	
私書箱	Post Office Address	
	c/o New Technology Research Laboratories, Sumitomo Osaka Cement Co., Ltd., 585, Toyotomi-cho, Funabashi-shi, Chiba 274-8601 Japan	

(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

CHRISTIE, PARKER & HALE, LLP
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ATTACHMENT TO PAGE 3
OF DECLARATION AND
POWER OF ATTORNEY

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第三共同発明者	日付	Third inventor's signature <i>Tokutaka Hara</i>	Date November 2, 2001
住 所	Residence Funabashi-shi, Chiba, Japan		
国 籍	Citizenship Japanese		
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第四共同発明者	日付	Fourth inventor's signature	Date
住 所	Residence		
国 籍	Citizenship		
私書箱	Post Office Address		

第五共同発明者	Full name of fifth joint inventor, if any		
第五共同発明者	日付	Fifth inventor's signature	Date
住 所	Residence		
国 籍	Citizenship		
私書箱	Post Office Address		
第六共同発明者	Full name of sixth joint inventor, if any		
第六共同発明者	日付	Sixth inventor's signature	Date
住 所	Residence		
国 籍	Citizenship		
私書箱	Post Office Address		

(第七以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for seventh and subsequent joint inventors.)